

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant	: Daniel F. Moore et al.	Art Unit	: 3621
Serial No.	: 10/046,652	Examiner	: Cristina O. Sherr
Filed	: January 14, 2002	Confirmation No.:	1073
		Notice of Allowance Date:	3/28/08
Title	: OPENING PRICE PROCESS FOR TRADING SYSTEM		

MAIL STOP ISSUE FEE

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE TO NOTICE OF ALLOWANCE

In response to the Notice of Allowance mailed March 28, 2008, enclosed is a completed issue fee transmittal form PTOL-85b. Please charge the required fee of \$1740 to Deposit Account No. 06-1050.

Please apply any additional charges or credits to our Deposit Account No. 06-1050.

Respectfully submitted,

Date:

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Denis G. Maloney
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I hereby certify that this paper was filed with the Patent and Trademark Office using the EFS-WEB system on this date: June 25, 2008

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Complete and send this form, together with applicable fee(s), to: Mail

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26161 7590 03/28/2008

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/046,652	01/14/2002	Daniel F. Moore	09857-071001	1073

TITLE OF INVENTION: OPENING PRICE PROCESS FOR TRADING SYSTEM

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$1740	06/28/2008

EXAMINER	ART UNIT	CLASS-SUBCLASS
SHERR, CRISTINA O.	3621	705-075000

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. **Use of a Customer Number is required.**

2. For printing on the patent front page, list (1) the names of up to 3 registered patent attorneys or agents OR, alternatively, (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

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2. _____
3. _____

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. Inclusion of assignee data is only appropriate when an assignment has been previously submitted to the USPTO or is being submitted under separate cover. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

The NASDAQ OMX Group, Inc.

(B) RESIDENCE (CITY and STATE OR COUNTRY)

Rockville, MD

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ individual ☒ corporation or other private group entity ☐ government

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- ☒ Issue Fee
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5. **Change in Entity Status** (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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(Date) 6/25/08

Typed or Printed Name Denis G. Maloney

Registration No. 29,670

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